### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(FW

In re application of: Dimpsey et al

Serial No.: 10/806,866

Filed: March 22, 2004

For: Method and Apparatus for Prefetching Data from a Data

Structure

Group Art Unit: 2165

Examiner: Popovici, Dov

Attorney Docket No.: AUS920040065US1

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

may 26 2006

By:

### TRANSMITTAL DOCUMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

35525
PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

Sir:

## **ENCLOSED HEREWITH:**

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- Reference AP; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee

Registration No. 34,285 YEE & ASSOCIATES, P.C.

P.O. Box 802333 Dallas, Texas 75380 (972) 385-8777

ATTORNEY FOR APPLICANTS



# I THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Dimpsey et al.

Serial No: 10/806,866

Filed: March 22, 2004

Title: Method and Apparatus for Prefetching

**Data from a Data Structure** 

Group Art Unit: 2165

Intellectual Property Law Department International Business Machines Corporation 11400 Burnet Road Austin, Texas 78758

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

DOCKET NUMBER AUS920040065US1

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

In the event a fee is required in connection with the enclosed Supplemental Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,

Duke W. Yee

Registration No. 34,285 Yee & Associates, P.C.

P.O. Box 802333

Dallas, Texas 75380

Telephone: (972) 385-8777

PTO/SB/(08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE eduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449/PT

STATEMENT BY APPLICATION

MAY 9 1 2006

# INFORMATION DISCLOSURE

Complete if Known				
Application Number	10/806,866			
Fling Date	03-22-2004			
First Named Inventor	Dimpsey et al.			
Examiner Name	Popovici, Dov			
Art Unit	2165			
Attorney Docket No.	AUS920040065US1			

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	5394529	02-28-1995	Brown, III et al.	
AB	6233679 B1	05-15-2001	Holmberg	
AC	5887159	03-23-1999	Burrows	
AD	6662295 B2	12-09-2003	Yamaura	
AE	5938760	08-17-1999	Levine et al.	
AF	6189072 B1	02-13-2001	Levine et al.	
AG	5276833	01-04-1994	Auvinen et al.	
AH	5287481	02-15-1994	Lin	
Al	5537572	07-16-1996	Michelsen et al.	
AJ	5805879	09-08-1998	Hervin et al.	
AK	6647301 B2	11-11-2003	Sederlund et al.	
. AL	6735666 B1	05-11-2004	Koning	
AM	2004/0153612 A1	08-05-2004	Mutz et al.	
AN	2005/0091456 A1	04-28-2005	Huck	
AO	2005/0108483 A1	05-19-2005	Bungo	

FOREIGN PATENT DOCUMENTS					
Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

aminer	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item
itial	(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s),
	publisher, city and/or country where published.
AP	JEONG et al., "Cost Sensitive Cache Replacement Algorithms", June 2002, Second Workshop on Cashing,
AF	Coherence and Consistency, NY, pp. 1-14.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.